

Annexure A

Audit Plan (To be submitted by Trading Member)

A. Audit Team Details:

Sr. No	Name of the audit member	Address	Registration No.	Membership No.	PAN	Qualification	Mobile No.	Email ID
1	Alkaben Vaghela	9th Floor Abhishree Adroit, Near Mansi Circle, Judges Bungalow Road, Vastrapur, Ahmedabad - 380015	U72900GJ 2017PTC0 95215	232322521	BFTP V053 8P	CISM	635395 1973	Compliance.team@techdefence.com
2	Nassir Islam	9th Floor Abhishree Adroit, Near Mansi Circle, Judges Bungalow Road, Vastrapur, Ahmedabad - 380015	U72900GJ 2017PTC0 95215	252909704	ACE PW33 59A	CISM	700665 5208	Compliance.team@techdefence.com

B. Auditor Appointment Details:

Auditor Appointment Details	
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Name of Audit Firm	TechD Cybersecurity Limited
Name of Lead Auditor	Alkaben Vaghela
Date of Appointment of Auditor	7 Nov 2025
Audit Period	April 25 To Sept25

C. Auditor Plan:

Proposed Start Date for Visit	10 Nov 2025
Proposed End Date for Visit	25 Nov 2025
Specific Dates (if multiple or phased visits)	NA

D. List of Proposed Coverage of IT Systems/Processes:

Sr No.	IT System/Process	Specific Application Name	Remarks
1.	Trading Platform	Besseggen RMS (In-House)	
2.	Back Office System	Shilpi Computers Pvt. Ltd.	
3.	Internet Trading / Mobile App	N/A	
4.	Network & Security Infrastructure (Firewalls, Routers, VPN)	Cisco Routers	Besseggen_network_security_policy
5	Endpoint Devices	N/A	
6	BCP	Besseggen_ICSF_BCP_DR_policy_and_procedure	
7	Servers and Virtual or Cloud-Based Endpoints	Physical Linux Server	
8	Other related IT systems and processes (If any)	N/A	

E. List of Addresses:

Sr. No.	Type of Address (Registered, Correspondence, Regional Office, Data Center etc.)	Detailed Address

1.	Registered	11th Floor, Office No.1115, Astralis Tower Supernova, Plot No-3, Sector-94, NOIDA, Gautam Buddha Nagar, Uttar Pradesh, 201301
2.	Data Center	NSE CO-Location Data Center Exchange Plaza, Bandra Kurla Complex, Bandra East, Mumbai-400051

Note – 1. Kindly add row wherever required to enter details.

2. Above audit plan shall be submitted after discussion/consultation with the appointed auditor.